

The Henkel logo, consisting of the word "Henkel" inside an oval.*A Brand like a friend***Henkel Corporation
Law Department****RECEIVED
CENTRAL FAX CENTER****MAR 24 2004****OFFICIAL****Privileged and Confidential**

TO:

FROM:

Name: Commissioner for Patents

Name: Gregory M. Hill

Location: USPTO

Location: 2200 Renaissance Blvd
Gulph Mills, PA

Fax No.: (703) 872-9306

Date: March 24, 2004

NUMBER OF PAGES 14 INCLUDING THIS COVER PAGE.

We are transmitting from facsimile machine (610) 278-6548. If you do not receive all the pages indicated above, please call Iris M. Grogins at (610) 278-4935 between 8:00 A.M. and 5:00 P.M. EST.

THE INFORMATION CONTAINED IN THIS FAX MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT(S) NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION, AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU

DOCKET NO. H 3170 PCT/US

RE: Hollenberg, et al.

Application No. 10/031,740

Filed: June 13, 2002

Title: NOVEL DYES AND COLORANTS

-
- Amendment under 37 CFR 1.111 and Request for Extension of Time (12 pages)
 - Fee Transmittal (1 page)

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0851-0032
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 1674**Complete if Known**

Application Number	10/031,740
Filing Date	June 13, 2002
First Named Inventor	Detlef Mollenberg
Examiner Name	Fiona Powers
Art Unit	1626
Attorney Docket No.	H 3170 PCT/US

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None
☒ Deposit Account.

Deposit Account Number: 01-1250 Order Nos. 04-0097, 04-0097 01

Deposit Account Name: Henkel Corporation

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Large Fee (\$)	Small Fee Code	Small Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
22	20	2 x \$18.00 =	36
11	3	8 x \$88.00 =	688
		\$290.00 =	

Large Fee Code	Large Fee (\$)	Small Fee Code	Small Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	Reissue independent claims over original patent	
1205	18	2205	9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$) 724

** or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Fee Code	Large Fee (\$)	Small Fee Code	Small Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oia	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	850	2253	475	Extension for reply within third month	950
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	280	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Patience to the Commissioner	
1807	50	2807	50	Processing fee under 37 CFR 1.17(g)	
1806	180	2806	180	Submission of information Disclosure Sheet	
8021	40	28021	40	Recording each patent assignment per property (unless number of properties)	
1808	770	2808	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	2802	900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 950**SUBMITTED BY**

Name (Print/Type) Gregory M Hill

Registration No. 31,369

Complete (if applicable)

Telephone (610) 278-4964

Signature

Date

3/24/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to the (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

24-Mar-04 11:54

From-HENKEL CORPORATION PATENT DEPT,

6102786548

T-177 P.03/14 F-752

PATENT
Docket No. H 3170 PCT/US

OFFICIAL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
CENTRAL FAX CENTER

MAR 24 2004

Applicant: Hollenberg, et al.
Appl. No.: 10/031,740
Filed: June 13, 2002
Title: NOVEL DYES AND COLORANTS
Art Unit: 1626
Examiner: Fiona Powers
Customer No.: 00423
Confirm. No.: 8626

CERTIFICATE OF FACSIMILE TRANSMITTAL

I hereby certify that this correspondence is being facsimile transmitted
to the U.S. Patent and Trademark Office at 703-872-9306

3-24-04
Date

Iris M. Grogins
Signature of Certifier

Iris M Grogins
Typed or printed name of certifier

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT UNDER 37 CFR 1.111

AND REQUEST FOR EXTENSION OF TIME

Sir:

Applicants respond to the Non-Final Office Action
mailed September 24, 2003.